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Keith R. Haupt
Keith R. Haupt, Reg. No. 37,638

July 19, 2001
Date

#4
I.D.S.

Serial No.: 09/828,643
Filed: April 6, 2001
Applicant: Brian J. Roberts
Title: GAMING SYSTEM AND METHOD

Cincinnati, Ohio 45202

Date: July 19, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

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TC 3700 MAIL ROOM

Sir:

INFORMATION DISCLOSURE STATEMENT

Enclosed is a copy of the Patent Office Form 1449 which lists the patents and publications known to the applicant or his assignee relating to the subject application. Since this is a continuation-in-part application based upon Serial No. 09/060,423 which is a CIP of 09/128,406, which is a CIP of 09/238,682, no copies of the cited references are enclosed.

None of the patents or publications in any way is believed to disclose or suggest applicant's claimed invention. No admission is made that the cited references are prior art and/or material to patentability. The patents and publications are cited so

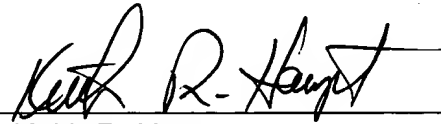
that the Examiner will have access to material known to the applicant or his assignee.

The Examiner is encouraged to consider the cited patents and publications, and to make an independent determination of their materiality.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

BY

A handwritten signature in black ink, appearing to read "Keith R. Haupt", is written over a horizontal line.

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Keith R. Haupt
Keith R. Haupt, Reg. No. 37,638

July 19, 2001
Date

PATENT

Serial No.: 09/828,643
Filed: April 6, 2001
Group Art Unit:
Examiner:
Inventor: Brian J. Roberts, et al.
Title: Gaming System and Method

Cincinnati, Ohio

Date: July 19, 2001

Assistant Commissioner for Patents
Washington, DC 20231

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TECHNOLOGY CENTER R3700

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT AND TENTATIVE PETITION

Supplemental Information Disclosure Statement

In accordance with the duty of candor and good faith imposed by 37 C.F.R. §1.56 and means of complying therewith according to 37 C.F.R. §§1.97 and 1.98, the references listed on the attached form PTO-1449 are called to the attention of the United States Patent and Trademark Office in connection with the above-identified patent application. No admission is made that the cited art represents prior art or that the cited art is the most material art.

A PCT International Search Report (copy enclosed) in the counterpart PCT application to this case was mailed on July 3, 2001.

The references cited herein were cited in the PCT International Search Report. Each reference cited herein is in the English language.

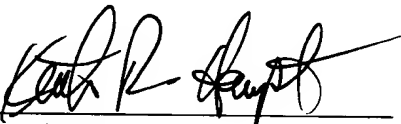
Examiner is urged to consider each of the cited references listed on the attached form PTO-1449, and to make an independent decision with respect to the materiality of each.

Certification

Each item of information set forth in the attached PTO-1449 was cited in a communication (the Search Report) in a counterpart foreign application (PCT) not more than three months prior to the mailing date and filing hereof. Accordingly, it is submitted that the fee of 37 C.F.R. 1.97(c) is not required. However, it is requested that any fee which might be deemed required for consideration of this Supplemental Information Disclosure Statement be charged to our deposit account, No. 23-3000 (a duplicate of this Supplemental Information Disclosure Statement is enclosed for this purpose).

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

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